

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 200357US2

First Inventor or Application Identifier Timo J. NARUMO

Title COMPUTERIZED METHOD, PROCESS AND SERVICE FOR STOCK INVESTMENT TIMING

APPLICATION ELEMENTS

See 37 CFR chapter 600 concerning utility patent application contents

1. Transmittal Form (e.g. PTO/SB/17)
(including an original and a duplicate for fee processing)

2. Specification Total Sheets 19

3. Drawing(s) (35 U.S.C. 113) Total Sheets 6

4. Oath or Declaration Total Pages

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 16 completed)

i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

5. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

- i. CD-ROM or CD-R (2 copies); or
- ii. Paper

c. Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

- 7. Assignment Papers (cover sheet & document(s))
- 8. Application Data Sheet. See 37 CFR 1.76
- 9. 37 C.F.R. §3.73(b) Statement (when there is an assignee) Power of Attorney
- 10. English Translation Document (if applicable)
- 11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- 12. Preliminary Amendment
- 13. White Advance Serial No. Postcard
- 14. Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 15. Applicant claims small entity status. See 37 CFR 1.27
- 16. Other: List of Inventor's Name and Address

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. Amend the specification by inserting before the first line the sentence:

This application is a Continuation Division Continuation-in-part (CIP)
of application Serial No. Filed on

This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS

210.00 OP
130.00 OP
270.00 OP
144.00 OP



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Signature:	<i>Marvin J. Spivak</i>	Date:	11/29/00
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Registration Number 21,124

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Timo J. NARUMO
 SERIAL NO: New Application
 FILING DATE: Herewith
 FOR: COMPUTERIZED METHOD, PROCESS AND SERVICE FOR STOCK INVESTMENT TIMING

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
 WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	28 - 20 =	8	× \$18 =	\$144.00
INDEPENDENT CLAIMS	1 - 3 =	0	× \$80 =	\$0.00
■ MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$270.00
■ LATE FILING OF DECLARATION			+ \$130 =	\$130.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS	\$1,254.00
□ REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
□ FILING IN NON-ENGLISH LANGUAGE		+ \$130 =		\$0.00
□ RECORDATION OF ASSIGNMENT		+ \$40 =		\$0.00
			TOTAL	\$1,254.00

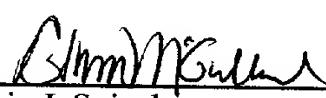
Please charge Deposit Account No. 15-0030 in the amount of \$1,254.00 A duplicate copy of this sheet is enclosed.

A check in the amount of \$1,254.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
 A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date: 11/29/00

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